Attorney Docket No. 612,406-043

Patent

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KAPPEL, Andreas

Serial No.: Not Yet Assigned

Filed: Herewith

For: USE OF A MUTATION IN THE BRAF

GENE FOR THE DETERMINATION

OF THE MALIGNANCY OF

**MELANOMA CELLS** 

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-SB/08. Also attached is a copy of an International Search Report from a related application.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING (37 C.F.R. §1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' (Label No. EV911315994US) in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date of Deposit NB1:686466.1 Cluve Na

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# AP3 Rec'd PCT/PTO 07 JUN 2008

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## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is
(1) within three months of the filing date of the application, which is not a continued prosecution
application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth
in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the
mailing of a first Office action after filing a request for continued examination under § 1.114. Thus,
no fee is required.
However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.
However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.
The fee due under 37 CFR § 1.17(p) is submitted herewith.
A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.
Statement Under 37 CFR § 1.97(e):
Each item contained in this IDS was first cited in a communication from a foreign patent
office in a counterpart foreign application not more than three months prior to the filing of this IDS.
No item contained in this IDS was cited in a communication from a foreign patent office in a
counterpart foreign application, and, to the knowledge of the person signing this statement after
making reasonable inquiry, no item of information contained in this IDS was known to any
individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

10/581936

# AP3 Rec'd PCT/PTO 07 JUN 2005 Patent Attorney Docket No. 612,406-043

### PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

	A check in the amount of \$180.00 is enclosed for the above fee(s).	
	Please charge\$180.00 to Deposit Account No. 50-2862 for	the above fee(s).
	The Commissioner is authorized to charge any fees required by the	filing of these papers, and
to cred	credit any overpayment to O'Melveny and Myers' Deposit Account No.	50-2862.
	Respectfully sub	mitted,
	O'MELVENY &	& MYERS LLP
Dated:	Diane K. Wong Reg. No. 54,550	)
DKW/k	Attorneys for Ap	oplicant

O'MELVENY & MYERS LLP 610 Newport Center Drive, 17<sup>th</sup> Floor Newport Beach, CA 92660-6429 (949) 760-9600

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AP3 Rec'd PC1/PT approved for use this office; U.S. DEPARTMENT OF COMMERCE

				Complete if Known			
Substitute for form 1449A-PTO				Application No.	Not Yet Assigned		
INIEC	DMATION F	ne.	CLOSUBE	Filing Date	Herewith		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Kappel		
(Use as many sheets as necessary)				Art Unit	Not Yet Assigned		
				Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket No.	612,406-043		

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document No.  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	1.	WO 02/090512	11/2002	Genaissance Pharmaceuticals, Inc.			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>	
	2.	DONG, et al., "BRAF Oncogenic Mutations Correlate with Progression Rather Than Initiation of Human Melanoma", CANCER RESEARCH 63(14): 3883-85 (July 15, 2003)		
	3.	DATABASE EMBL, 'Online! 15 June 1992 (1992-06-15) SITHANANDAM et al., "Homo sapiens B-raf protein (BRAF) mRNA, complete cds", retrieved from EBI, Database accession no. M95712 abstract		
	4.	DAVIES et al., "Mutations of the BRAF Gene in Human Cancer," NATURE 417: 949-54 (June 27, 2002)		
	5.	URIBE P., WISTUBA I. and GONZALEZ S., "BRAF Mutation. A Frequent Event in Benign, Atypical, and Malignant Melanocytic Lesions of the Skin," AM. J. DERMATOPATHOL. 25(5): 365-70 (October 2003)		

	1	
Examiner		
Signature		
NB1:686485.1	Date	
11.00403.1	Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emporor must precede the senal number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB-08a (08 03)

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